



# Institute for Capacity Development (ICD)

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## Treasury and Compliance Management

### Course Objectives

On completion of the course participants should be able to:

- Manage cash flows and different types of risk
- Explore the different ways of raising debt and financing
- Develop/update compliance policies and frameworks/charters
- Assess and prioritise compliance risks
- Use a Compliance Risk Management Plan (CRMP) as a tool for identifying, assessing and managing compliance risks
- Review the effective implementation and application of the compliance policy, framework and processes

### Targeted groups

- Compliance Officers/Managers
- Risk Managers
- Internal Audit Staff
- Regulatory Representatives
- Accountants and Treasury Officers

### Course Outline

- General Management Principles and Skills
- Treasury Management Fundamentals
- A treasury control framework
- Treasury performance management
- Accounting issues: IAS 32 and 39; FAS 133
- Treasury Management Processes
- Cash management objectives
- Cash flow forecasting
- Working capital management
- Excess liquidity: short-term investing
- Using longer-dated instruments: rolling down the curve
- Short-term borrowing
- Types of loan finance
- Issues in loan documentation
- Debt securities
- Raising funds with long-term debt

- The eurobond market
- Public offerings v private placements
- Bond issuance via syndication
- Introduction to Bond Risk Management
- Equity instruments
- Issuing equity in the primary market
- Secondary offerings
- Managing the equity base
- The role of dividend payments
- Asset finance: securitisation
- Asset finance: leasing
- Project finance
- Principal treasury related financial risks
- The management of financial risks
- Commodity and Credit Exposures
- Asset-Liability Management
- Enterprise risk management
- Currency Risk Management
- Compliance Governance
- Anti-money laundering
- Compliance Function Structures
- Compliance Processes and Activities
- Accountability and responsibility for compliance
- Scope of compliance risk
- Why there is a need for compliance
- The compliance risk management framework
- Establishing policies and procedures
- Compliance Risk Management Plan (CRMP)
- How do we develop a CRMP?
- Managing compliance risks
- What do we do with our CRMP's?
- Compliance monitoring
- Analysis of Financial Statements
- Action Planning

Dates: 01<sup>st</sup> – 12<sup>th</sup> February 2021

Duration: 2 Weeks

Course Costs: US\$3150/delegate

Venue: Pretoria, RSA